

Page

m 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known		
Application Number	09/894,413	
Filing Date	June 28, 2001	
First Named Inventor	J.J. Lin et al.	
Art Unit 2191 2/93		
Examiner Name John Q. Chavis		
Attorney Docket Number	STL920000095US1	

			U.S. PATENT DO	OCUMENTS	
Examiner Cite Initials No.	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	No.	Number- Kind Code (if known) MM-DD-YYY	MM-DD-YYYY	of Cited Document	
		US-			
		US-		-	
		US-			

		FOREIG	N PATENT DOCUME	NTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant	Ţ
Initials	No.	Country Code- Number- Kind Code	MM-DD-YYYY	Document Document	Passages or Relevant Figures Appear	1
					<u> </u>	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т
GAT .		MAHON, C., "Workflow Management in the Internet Age", August 1997.	
(3)		NYBERG, K., "Workflow Definition Languages", Tik-76.273 Seminar on Database Management, November 2000.	
9		REINWALD, B. & C. MOHAN, "Structured Workflow Management with Lotus Notes Release 4", Proceedings of the 41" IEEE Computer Society International Conference (CompCon), February 1996, pp. 451-457.	
G)		TRAMMELL, K., "Work Flow Without Fear: Six Reasons Why Work Flow Fails, and What you Can do About It", [online], April 1996, Retrieved from the Internet at <url: 9604="" art="" art1.htm="" http:="" sec8="" www.byte.com="">.</url:>	
			lacksquare
			<u> </u>

Examiner Signature	cha. g.	Date Considered	11-28-05
	Initial if citation considered, whether or not citation is in c		line through citation if not in

Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)

Page	1	of	3

C	omplete if Known		
Application Number	09/894,413		
Filing Date	June 28, 2001		
First Named Inventor	J.J. Lin et al.		
Art Unit	2193		
Examiner Name	John Q. Chavis		
Attorney Docket Number	STL920000095US1		

			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
<u> </u>		Number- Kind Code (if known)			Figures Appear
a		US-5,596,750	01-21-1997	Li et al.	
CB.		US-5,644,768	07-01-1997	Periwal et al.	
88		US-5,745,687	04-28-1998	Randell	
GR		US-5,790,789	08-04-1998	Suarez	
a		US-5,826,239	10-20-1998	Du et al.	
84		US-5,892,905	04-06-1999	Brandt et al.	
8		US-5,937,388	08-10-1999	Davis et al.	
ak		US-5,951,649	09-14-1999	Dobbins et al.	•
0		US-5,960,420	09-28-1999	Leymann et al.	
OL		US-5,991,733	11-23-1999	Aleia et al.	
Gr.		US-6,061,667	05-09-2000	Danford-Klein et al.	
Ok.		US-6,073,109	06-06-2000	Flores et al.	
a.		US-6,151,583	11-21-2000	Ohmura et al.	
Od.		US-6,226,641	05-01-2001	Hickson et al.	
de		US-6,278,977	08-21-2001	Agrawal et al.	· ·
a		US-6,308,163	10-23-2001	Du et al.	
<u>a</u>		US-6,338,074	01-08-2002	Poindexter et al.	
d		US-6,349,238	02-19-2002	Gabbita et al.	
æ		US-6,430,538	08-06-2002	Bacon et al.	
Q		US-6,487,607	11-26-2002	Wollrath et al.	
6		US-6,578,006	06-10-2003	Saito et al.	
<u> </u>		US-6,606,740	08-12-2003	Lynn et al.	· · · · · · · · · · · · · · · · · · ·
Q.		US-6,665,674	12-16-2003	Buchanan et al.	······································
GC.		US-6,665,814	12-16-2003	Hobson et al.	·
4		US-6,681,243	01-20-2004	Putzolu et al.	
æ		US-6,691,299	02-10-2004	Hart et al.	
(P)		US-6,721,713	04-13-2004	Guheen et al.	
0		US-6,769,113	07-27-2004	Bloom et al.	
at 1		US-6,769,124	07-27-2004	Schoening et al.	
4		US-6,816,902	11-09-2004	Bandat et al.	•
ã,		US-6,853,974	02-08-2005	Akifuji et al.	

Complete if Known Form 1449/PTO 09/894,413 **Application Number Filing Date** June 28, 2001 INFORMATION DISCLOSURE First Named Inventor J.J. Lin et al. STATEMENT BY APPLICANT Art Unit 219 (use as many sheets as necessary) **Examiner Name** John Q. Chavis Page 2 of 3 Attorney Docket Number STL920000095US1

CET	US-6,-920-456	07-19-2005	Lee et al.	
Qk	US-2002/0032783	03-14-2002	Tuatini	
CX	US-2002/0038357	03-28-2002	Haverstock et al.	
Ge_	US-2002/0059411	05-16-2002	Barnhouse et al.	
Q.	US-2002/0103811	08-01-2002	Fankhauser et al.	
	US-2002/0120685	08-29-2002	Srivastava et al.	
Ø	US-2002/0143949	10-03-2002	Rajarajan et al.	
W.	US-2003/0023472	01-30-2003	Lee et al.	
ne	US-2003/0023662	01-30-2003	Yaung .	
ac	US-2003/0023728	01-30-2003	Yaung .	
	US-2003/0023773	01-30-2003	Lee et al.	
69	US-2003/0033415	02-13-2003	Graylin et al.	
	US-2003/0131075	07-10-2003	Bear et al.	
				·

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant	
Initials	No.	Country Code- Number- Kind Code	MM-DD-YYYY	Document	Passages or Relevant Figures Appear	'
4		JP- 10-081425	03-31-1998	Blake et al.	Abstract only	Ab
B		JP- 10-326314	12-08-1998	Saito et al.	CONSILLAND Abstract	Ab
R)		JP- 11-003298	01-06-1999	Ogawa et a.	.,	Ab
4		JP- 11-175644	07-02-1999	Minoya et al.	61	Аb
GE		JP 11-249983	09-17-1999	Chen et al.	11	Ab
T						Г

	NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	т
OF.		CHOUDHURY, G.S., C. REQUARDT, I. FUJINAGA, T. DILAURO, E.W. BROWN, J.W. WARNER, and B. HARRINGTON, "Digital Workflow Management: The Lester S. Levy Digitized Collection of Sheet Music", [online], © 2000 First Monday, [Retrieved on March 17, 2005], retrieved from the Internet at <url: choudhury="" firstmonday.org="" http:="" index.htm="" issue5_6="" issues="">.</url:>	
(Sp)		GULCU, C. (Ed.), "Suitable Interface Adaptor (Workflow Module)", Cross-Organisational Workflow: CrossFlow, ESPRIT E/28635, December 1999, pp. 1-12.	
9		HUDGINS, J. and L.A. MACKLIN, "New Materials, New Processes: Implementing Digital Imaging Projects into Existing Workflow", Library Collections, Acquisitions, & Technical Services, 2000, No. 24, pp. 189-204.	

Complete if Known Form 1449/PTO **Application Number** 09/894,413 Filing Date June 28, 2001 **INFORMATION DISCLOSURE** First Named Inventor J.J. Lin et al. STATEMENT BY APPLICANT Art Unit 2191-2193 (use as many sheets as necessary) **Examiner Name** John Q. Chavis Page of 3 Attorney Docket Number STL920000095US1

IBM CORPORATION, "Non-Destructive Annotation of Documents in an Image Processing Environment", IBM Research Disclosure, No. 430191, February 2000, pp. 404-408. IBM CORPORATION, "Runtime Transaction Management in a Transaction Service", IBM Research Disclosure, No. 416150, December 1998, pp. 1720-1721. SPITZER, T., "Forms, Workflow, and the Web", [online], [Retrieved on March 17, 2005], retrieved from the Internet at URL: http://www.webtechniques.com/archives/1999/10/busi/ .	4	IBM CORPORATION, "Method for Testing Program Activity Implementations of Workflow Management Systems", IBM Research Disclosure, No. 408186, April 1998, pp. 503-505.
416150, December 1998, pp. 1720-1721. SPITZER, T., "Forms, Workflow, and the Web", [online], [Retrieved on March 17, 2005], retrieved from the Internet at	Q	IBM CORPORATION, "Non-Destructive Annotation of Documents in an Image Processing Environment", IBM Research Disclosure, No. 430191, February 2000, pp. 404-408.
SPITZER, T., "Forms, Workflow, and the Web", [online], [Retrieved on March 17, 2005], retrieved from the Internet at URL: http://www.webtechniques.com/archives/1999/10/busi/ .	Q.	
	(Leg)	SPITZER, T., "Forms, Workflow, and the Web", [online], [Retrieved on March 17, 2005], retrieved from the Internet at <url: 10="" 1999="" archives="" busi="" http:="" www.webtechniques.com=""></url:> .

Examiner Signature	ch. Q.	Date Considered	11-28-05
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

PTO/SB/ 08 (08-05) Aug 2005 0055.0040